

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE:
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EQ/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known): <b>097868620</b>
INTERNATIONAL APPLICATION NO.: <b>PCT/JP99/06061</b>	INTERNATIONAL FILING DATE: <b>OCTOBER 29, 1999</b>	PRIORITY DATE CLAIMED: <b>DECEMBER 28, 1998</b>
TITLE OF INVENTION: <b>AMORPHOUS NANO-SCALE CARBON TUBE AND PRODUCTION METHOD THEREFOR</b>		
APPLICANT(S) FOR DO/EQ/US: <b>Hisaji MATSUI, Ryoichi NISHIDA, Hitoshi NISHINO, Chiharu YAMAGUCHI, Haruyuki NAKAOKA, Kazuhiro TAKEZAKI and Katsuhiro SASAKI</b>		
Applicant hereby submits to the United States Designated/Elected Office (DO/EQ/US) the following items and other information:		
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).</li> <li><input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)): <ol style="list-style-type: none"> <li><input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li><input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been transmitted by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input checked="" type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b>		
<ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. ASSIGNEE NAME AND ADDRESS: <b>OSAKA GAS COMPANY LIMITED, Osaka-shi, Japan</b> <b>Please publish the assignee data with the application.</b></li> <li><input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input checked="" type="checkbox"/> Other items or information: 8 sheets of drawings (Figs. 3-A, 3-B, 6-A and 6-B being photographs) and international search report</li> </ol>		

U.S. APPLICATION NO. (if known) <b>09/868620</b>	INTERNATIONAL APPLICATION NO. <b>PCT/JP99/06061</b>	DATE: <b>June 25, 2001</b>			
17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO: ..... \$860.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$690.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$710.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1000.00  International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 860.00</b></div>		<u>CALCULATIONS</u>	<u>PTO USE ONLY</u>		
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than __ 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
TOTAL	29 - 20 =	9	X \$ 18.00	<b>\$ 162.00</b>	
INDEPENDENT	1 - 3 =		X \$ 80.00		
Multiple dependent claims(s) (if applicable)			+ \$270.00	<b>\$ 270.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,292.00</b>	
Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).					
<b>SUBTOTAL =</b>				<b>\$1,292.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than __ 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +					
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,292.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 per property +</b>				<b>\$ 40.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 1,332.00</b>	
				Amount to be:	
				refunded	\$ _____
				charged	\$ _____
					\$ _____

ATTORNEY'S DOCKET NO: 010756

U.S. APPLICATION NO. (if known) <b>09/868620</b>	INTERNATIONAL APPLICATION NO. <b>PCT/JP99/06061</b>	DATE: <b>June 25, 2001</b>
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a. ☒ A check in the amount of \$ 1,332.00 to cover the above fees is enclosed. (\$860.00 for filing fee; \$162.00 for 9 additional claims; \$270.00 for multiple dependent claims and \$40.00 for assignment recordation fee). (This paper is filed in triplicate)


b. ☐ Please charge my Deposit Account No. 01-2340 in the amount of \$      to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2340.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

**Send All Correspondence To:**

  
**23850**  
 PATENT TRADEMARK OFFICE

  
 SIGNATURE  
Stephen G. Adrian  
 NAME  
32,878  
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09/868620  
JUL 13 Rec'd PCT/PTO 2 5 JUN 2001

PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Hisaji MATSUI et al.**

Serial No.: **Not Yet Assigned**  
**(PCT/JP99/06061)**

Filed: **June 25, 2001**

For: **AMORPHOUS NANO-SCALE CARBON TUBE AND PRODUCTION  
METHOD THEREFOR**

PRELIMINARY AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

June 25, 2001

Sir:

Prior to the calculation of the filing fees of the above application, please amend the application as follows:

IN THE CLAIMS:

Please cancel claims 20 to 26, presented by amendment of October 17, 2000 to the International Bureau.

Please amend claims 1, 3-8, 13 and 27 as follows:

1. (Amended) Nano-scale carbon tubes each containing a main framework which comprises carbon, and each having a diameter of 0.1 to 1000 nm and an amorphous structure, and each having

an interlayer spacing (002) between hexagonal carbon layers of at least 3.54 Å and a diffraction angle (2θ) of 25.1 degrees or less, as determined with a diffractometer by an X-ray diffraction method (incident X-Ray: CuKα).

3. (Amended) The nano-scale carbon tubes according to claim 1, each of which has an interlayer spacing (002) between hexagonal carbon layers of at least 3.54 Å, a diffraction angle (2θ) of 25.1 degrees or less, and a 2θ band half-width of at least 3.2 degrees, as determined with a diffractometer by an X-ray diffraction method (incident X-ray: CuKα).

4. (Amended) Amorphous nano-scale carbon tubes according to claim 1, each of which has a straight shape.

5. (Amended) Amorphous nano-scale carbon tubes according to claim 1, each of which has a hollow cylindrical shape or a hollow rectangular prism shape.

6. (Amended) Amorphous nano-scale carbon tubes according to claim 1, each of which has at least one open end.

7. (Amended) The amorphous nano-scale carbon tubes according to claim 1, which are formed on a substrate, a particle or a porous material.

8. (Amended) A gas-storing material comprising an amorphous carbonaceous material containing the amorphous nano-scale carbon tubes according to claim 1.

13. (Amended) A method for producing a carbon material containing amorphous nano-scale carbon tubes according to claim 1, the method comprising subjecting a heat decomposable resin having a decomposition temperature of 200 to 900°C to excitation treatment in the presence of a catalyst comprising a metal powder and/or a metal salt.

27. (Amended) A carbon material containing the amorphous nano-scale carbon tubes according to claim 1.

REMARKS

The above proposed amendment is believed to place the claims in substantially the same condition as the claims which have been amended under Article 34 in the International Application PCT/JP99/06061 and to remove improper multiple dependent claims. Early and favorable action is awaited.

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned "Version with markings to show changes made."

In the event there are any additional fees required, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI,  
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VERSION WITH MARKINGS TO SHOW CHANGES MADE

Claims 1, 3-8, 13 and 27 have been amended as follows:

1. (Amended) Nano-scale carbon tubes each containing a main framework which comprises carbon, and each having a diameter of 0.1 to 1000 nm and an amorphous structure, and each having an interlayer spacing (002) between hexagonal carbon layers of at least 3.54 Å and a diffraction angle (2θ) of 25.1 degrees or less, as determined with a diffractometer by an X-ray diffraction method (incident X-Ray: CuKα).

3. (Amended) The nano-scale carbon tubes according to claim 1 [or 2], each of which has an interlayer spacing (002) between hexagonal carbon layers of at least 3.54 Å, a diffraction angle (2θ) of 25.1 degrees or less, and a 2θ band half-width of at least 3.2 degrees, as determined with a diffractometer by an X-ray diffraction method (incident X-ray: CuKα).

4. (Amended) Amorphous nano-scale carbon tubes according to [any one of claims 1 to 3] claim 1, each of which has a straight shape.

5. (Amended) Amorphous nano-scale carbon tubes according to [any one of claims 1 to 4] claim 1, each of which has a hollow cylindrical shape or a hollow rectangular prism shape.

6. (Amended) [The] Amorphous nano-scale carbon tubes according to [any one of claims 1 to 5] claim 1, each of which has at least one open [or flat] end.

7. (Amended) The amorphous nano-scale carbon tubes according to [any one of claims 1 to 6] claim 1, which are formed on a substrate, a particle or a porous material.

8. (Amended) A gas-storing material comprising an amorphous carbonaceous material containing the amorphous nano-scale carbon tubes according to [any one of claims 1 to 7] claim 1.

13. (Amended) A method for producing a carbon material containing amorphous nano-scale carbon tubes according to [any one of claims 1 to 7] claim 1, the method comprising subjecting a heat decomposable resin having a decomposition temperature of 200 to 900°C to excitation treatment in the presence of a catalyst comprising a metal powder and/or a metal salt.

27. (Amended) A carbon material containing the amorphous nano-scale carbon tubes according to [any one of claims 1 to 7] claim 1.

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JC18 Rec'd PCT/PTO 25 JUN 2001

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## DESCRIPTION

### AMORPHOUS NANO-SCALE CARBON TUBE AND PRODUCTION METHOD THEREFOR

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#### TECHNICAL FIELD

The present invention relates to amorphous nano-scale carbon tubes each of which comprises carbon as a main framework and has a diameter of 0.1 to 1000 nm and a hollow fiber shape; a carbon material containing the amorphous nano-scale carbon tubes; and a method for producing the amorphous nano-scale carbon tubes or the carbon material.

#### BACKGROUND ART

Conventionally, carbon fibers are produced by forming a starting material such as pitch or polyacrylonitrile into fibers while maintaining the main chain framework of the starting material. This type of method is incapable of producing a product wherein the nano-scale molecules are controlled.

Carbon nanotubes (hereinafter referred to as "CNTs"), which have been attracting attention recently, can be roughly regarded as fibers controlled at the molecular level. CNTs are produced by the carbon arc method, sputtering, laser beam irradiation or like

technique, using graphite or like starting carbon material in the presence of a metal catalyst. However, these techniques chiefly produce carbon nanotubes having a graphitic structure. One example of a carbon nanotube of such a structure is a carbon filament comprising a graphitic core surrounded by irregular pyrrolytic carbon (Oberlin, Endo, Koyama; Carbon 14, 133 (1976)).

Also, Japanese Examined Patent Publications No. 1991-64606 and No. 1991-77288 and other publications disclose carbon fibrils each comprising a graphitic outer portion and an inner core comprising irregular carbon atoms. In the disclosed techniques, however, it is practically difficult to control straightness of molecules or shape factors such as diameter and length.

Further, attempts have been made to produce CNTs using acetylene or like gaseous hydrocarbon as a starting material in the presence of a catalyst such as iron. However, also in these techniques, it is practically difficult to control straightness of molecules, diameter, length and the like while maintaining the graphitic structure. Stated specifically, in all of these techniques, the starting materials are excited into a gas-phase activated carbon state and then allowed to form CNTs during the process of recombination. Therefore, it is extremely difficult to control the reaction parameters

such as the amount of the starting material, resulting in production of CNTs having a graphitic structure and varying widely in shape. The products obtained by these techniques have a degree of graphitization (crystallinity) of at least 5%, and 50% to 100% in most cases. Further, the end each of the CNTs is closed with a cap and CNTs often contain a metal at the tips.

One report points out the existence of carbon nanotubes having an amorphous structure, as a precursor of graphitic carbon nanotubes. However, the existence of the carbon nanotubes with an amorphous structure is merely presumed from the existence of carbon tubes with an amorphous structure which are observed, through TEM, among the graphitic carbon nanotubes. Moreover, the amorphous carbon tubes are reported to be an intermediate product temporarily formed in the process of forming the graphitic carbon tubes. Thus, the selective synthesis method or use of the amorphous carbon nanotubes have not been elucidated yet (Wenlow Wang et al: Electrochemical Society Proceedings Volume 97-14, 814(1997)).

As discussed above, it is practically difficult for the conventional techniques to control the crystal structure, molecular straightness, diameter, length, end structure and the like of the CNTs. In particular, the CNTs have a substantially graphitic structure, and thus

have low degree of freedom of structural control.

Moreover, the conventional techniques have the problem that amorphous carbon formed as a by-product contaminates the graphite product and makes purification extremely

5 difficult.

Regarding the properties of CNTs, it has been reported that CNTs are likely to adsorb a hydrogen gas densely by their capillary action (A.C.Dillon et al: Nature, 386, 377(1997)). Further, United States Patent No. 10 5,653,951 states that solid layered nanostructures (graphite nanofibers) are capable of chemisorbing a large amount of hydrogen into the interstices of the graphite layers.

However, reports on these known materials merely 15 point out possible performance characteristics of the materials on a research and development level. This is because the prior art techniques have a number of problems such as difficulties in material synthesis process and in control of structure and shape that affect the material 20 stability, lack of mass-productivity, and the like.

Moreover, the graphite nanofibers do not have sufficient durability for repetitive use, since the distance between the graphite layers expands as the fibers adsorb hydrogen. Accordingly, there remain a number of problems to be 25 solved before putting the known materials into practical

use.

#### OBJECTS OF THE INVENTION

The main object of the present invention is to provide amorphous nano-scale carbon tubes in hollow fiber shape, which are excellent in mechanical, electronic and chemical properties and comprise carbon having a structure and shape controlled at a nano-scale level; and a novel method that enables industrial production of such amorphous nano-scale carbon tubes in high purity, high yield and high mass-productivity.

A further object of the present invention is to provide a gas-storing material comprising an amorphous nano-scale carbon tube, which has a stable high capacity for storing a variety of gases and possesses excellent durability; and a method for storing a gas utilizing the gas storing material.

#### DISCLOSURE OF THE INVENTION

The present inventors conducted extensive research in view of the above status of the prior art, and obtained the following findings:

(1) The wall each of the amorphous nano-scale carbon tubes has amorphous structure consisting of hexagonal carbon layers oriented in all directions. The spacing between the hexagonal carbon layers imparts flexibility to the carbon tubes, and enables the carbon

tubes to expand, to absorb gas molecules and to disperse the gas molecules within each tube. Amorphous nano-scale carbon tubes each comprising an aggregate of the hexagonal carbon layers are higher in gas-storing capability and durability than CNTs having a non-amorphous structure such as a graphitic structure.

(2) Amorphous nano-scale carbon tubes, if having open ends, require no complex process for opening the ends. Also, amorphous nano-scale carbon tubes having flat ends possess a relatively large structural strain at the ends, and are therefore easy to open at the ends.

(3) Further, amorphous nano-scale carbon tubes having a straight shape are advantageous in dense packing of the material and gas dispersion within the material.

(4) Furthermore, amorphous nano-scale carbon tubes having a flexible or elastic amorphous structure which can absorb external force, are useful from the standpoint of sliding property, abrasion resistivity and the like.

(4) When amorphous nano-scale carbon tubes are produced by placing a heat decomposable resin, which decomposes at a specific temperature, into an excited state in the presence of a catalyst comprising a metal powder and/or a metal salt, amorphous nano-scale carbon tubes with the above specific structure and shape can be

produced in high purity, high yield and high mass-productivity.

(5) The amorphous nano-scale carbon tubes obtained by the above method are particularly suitable as  
5 a gas-storing material, a sliding material, an abrasion-resistant material or the like.

The present inventors conducted further research based on these findings, and consequently developed  
straight, stable and nano-order nano-scale carbon tubes  
10 having an amorphous structure, and a method for producing the nano-scale carbon tubes in high purity, high yield and high mass-productivity.

The present invention provides the following amorphous nano-scale carbon tubes or carbon materials  
15 comprising the amorphous nano-scale carbon tubes, and methods for producing the same.

1. Nano-scale carbon tubes each containing a main framework which comprises carbon, and each having a diameter of 0.1 to 1000 nm and an amorphous structure.
- 20 2. The nano-scale carbon tubes according to item 1, each of which comprises hexagonal carbon layers each having a dimension in the planar direction that is smaller than the diameter of the carbon tube, as determined from a transmission electron microscope image.
- 25 3. The nano-scale carbon tubes according to item

1 or 2, each of which has an interlayer spacing (002) between hexagonal carbon layers of at least 3.54 Å, a diffraction angle (2θ) of 25.1 degrees or less, and a 2θ band half-width of at least 3.2 degrees, as determined with a diffractometer by an X-ray diffraction method (incident X-ray: CuKα).

4. The amorphous nano-scale carbon tubes according to any one of items 1 to 3, each of which has a straight shape.

10 5. The amorphous nano-scale carbon tubes according to any one of items 1 to 4, each of which has a hollow cylindrical shape or a hollow rectangular prism shape.

15 6. The amorphous nano-scale carbon tubes according to any one of items 1 to 5, each of which has at least one open or flat end.

7. The amorphous nano-scale carbon tubes according to any one of the items 1 to 6, which are formed on a substrate, a particle or a porous material.

20 8. A gas-storing material comprising an amorphous carbonaceous material containing the amorphous nano-scale carbon tubes according to any one of items 1 to 7.

25 9. The gas-storing material according to item 8, which contains at least one of a metal salt and a metal.

10. The gas-storing material according to item 9, wherein the metal salt and the metal are selected from the group consisting of iron, cobalt, nickel, copper, platinum, palladium, rubidium, strontium, cesium, vanadium,

5 manganese, aluminum, silver, lithium, potassium, sodium, magnesium, hydrogen-occluding alloys and metal complexes.

11. A method for storing a gas, wherein a gas is stored using the gas-storing material according to any one of items 8 to 10.

10 12. The method according to item 11, wherein the gas to be stored is hydrogen, methane, helium, neon, xenon, krypton or carbon dioxide.

13. A method for producing a carbon material containing the amorphous nano-scale carbon tubes according to any one of items 1 to 7, the method comprising  
15 subjecting a heat decomposable resin having a decomposition temperature of 200 to 900°C to excitation treatment in the presence of a catalyst comprising a metal powder and/or a metal salt.

20 14. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to item 13, wherein the catalyst comprising a metal powder and/or a metal salt is at least one member selected from the group consisting of alkaline earth  
25 metals, iron, cobalt, nickel, chromium and their salts.

15. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to item 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by  
5 heat treatment in an inert gas at a temperature of 300 to 3000°C.

16. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to item 13 or 14, wherein the excitation  
10 treatment of the heat decomposable resin is carried out by light irradiation treatment in an inert gas at a temperature of room temperature to 3000°C.

17. The method for producing said carbon material containing the amorphous nano-scale carbon tubes  
15 according to item 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by plasma treatment in an inert gas at a temperature of room temperature to 3000°C.

18. The method for producing said carbon material containing the amorphous nano-scale carbon tubes  
20 according to item 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by electron beam irradiation treatment in an inert gas at a temperature of room temperature to 3000°C.

25 19. The method for producing said carbon

material containing the amorphous nano-scale carbon tubes according to item 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by ion beam irradiation treatment in an inert gas at a  
5 temperature of room temperature to 3000°C.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic diagram showing a plasma excitation apparatus used in the working examples of the present invention.

10 Fig. 2 is an X-ray diffraction chart of amorphous nano-scale carbon tubes obtained in Example 1.

Fig. 3-A is a TEM photograph showing an amorphous nano-scale carbon tube obtained in Example 3.

15 Fig. 3-B is a TEM photograph showing a further enlarged image of the amorphous nano-scale carbon tube shown in Fig. 3-A.

Fig. 4 is an X-ray diffraction chart of amorphous nano-scale carbon tubes obtained in Example 3.

20 Fig. 5 is an X-ray diffraction chart of amorphous nano-scale carbon tubes obtained in Example 9.

Fig. 6-A is a TEM photograph showing an amorphous nano-scale carbon tube obtained in Example 18.

25 Fig. 6-B is a TEM photograph showing a further enlarged image of the amorphous nano-scale carbon tube shown in Fig. 6-A.

DETAILED DESCRIPTION OF THE INVENTION

According to the present invention, the heat decomposable resin for use as a starting material is not particularly limited, as far as it has a decomposition temperature of about 200 to 900°C (preferably about 400 to 900°C). Specific examples of such resins include polytetrafluoroethylene (PTFE), polyvinylidene chloride, polyvinylidene fluoride, polyethylene (PE), polyvinyl alcohol (PVA), polyimide, polyacrylonitrile and the like. Of the above heat decomposable resins, PTFE, PE, PVA, polyacrylonitrile and the like are preferred.

The heat decomposable resin as the starting material may be in any forms, such as film or sheet, powder, mass or the like. For example, the heat decomposable resin may be applied to or mounted on a substrate, and then subjected to excitation treatment under suitable conditions, whereby a carbon material comprising a thin layer of amorphous nano-scale carbon tubes formed on the substrate is obtained.

Catalysts usable for excitation treatment of the heat decomposable resin include, for example, iron, cobalt, nickel, chromium, magnesium and like metals; halides, complexes and like salts of these metals. Of the above catalysts, iron chloride is preferable. The particle size of the catalyst is not particularly limited, but is

usually 5 mm or less, more preferably 100  $\mu$ m or less.

Excitation of the starting heat decomposable resin is carried out with the catalyst in contact with the starting material (for example, with the catalyst particles applied to the surface of a film- or sheet-form starting material, or with a powdery starting material mixed with catalyst particles) in an inert atmosphere (in an atmosphere of inert gas such as Ar, He or N<sub>2</sub>; at a pressure ranging from an elevated pressure to reduced pressure, preferably at a pressure not higher than 2 atms, more preferably at reduced pressure of about 400 torr or lower: under reduced pressure in the presence of an inert gas, and the like). The amount of the catalyst relative to the starting heat decomposable resin may greatly vary depending on the form and kind of the starting material, the kind and particle size of the catalyst, and the like. Usually, however, the catalyst is used in an amount of about 10 to 1/1000 times, preferably 1/10 to 1/50 times, the weight of the starting material.

The excitation of the starting material can be carried out by various means such as heat treatment, light irradiation treatment, plasma treatment or the like.

For excitation by heat treatment, the starting material is heated at a temperature which is not higher than 3000°C, preferably about 300 to 2000°C, more

preferably about 450 to 1800°C, and which is not lower than the heat decomposition temperature of the starting material.

Excitation by light irradiation is carried out at a temperature which is within a range from room temperature to about 3000°C, and which is not lower than the heat decomposition temperature of the starting material. Usually a laser beam having a wavelength up to approximately 1200 nm, preferably a wavelength of about 150 to 1200 nm, is used for light irradiation treatment. Any types of laser beams conventionally used can be employed without limitation. Usable lasers include, for example, Nd:YAG laser, Ti:Sa laser, Dye laser, Dye+SHG laser, Ar<sup>+</sup> laser and Kr<sup>+</sup> laser.

Excitation by plasma treatment is carried out at a temperature which is within a range from room temperature to about 3000°C and which is not lower than the heat decomposition temperature of the starting material. The process for plasma irradiation is not limited, and may comprise placing the starting material in an inert gas atmosphere or a reducing gas atmosphere, and contacting the starting material with a high-energy plasma stream to thereby obtain the desired product. In order to generate the plasma stream, an electromagnetic excitation source is used. The conditions for plasma generation can

be suitably selected according to the kind of the gas, gas pressure, excitation voltage, excitation current, excitation power source frequency, electrode shape, etc.

Some gases are difficult to convert into a plasma state owing to their characteristics, but they can be converted into a plasma state by inputting an increased amount of excitation electromagnetic power. Examples of gases usable for plasma treatment in the invention include Ar, He, Kr, N<sub>2</sub> and like inert gases, hydrogen or like reducing gases, and mixtures of these gases. Among the above gases, Ar, He and the like are more preferred.

The gas pressure for the plasma treatment needs to be selected in relation to the input of the excitation electromagnetic power. With an increase in gas pressure, the number of gas molecules increases, and a large amount of energy is needed for excitation of individual gas molecules. Thus, a great amount of excitation electromagnetic power is necessary. For example, plasma generation is possible even at a gas pressure of 10 atms or more, but necessitates a large electric power source and therefore involves an extremely high facility cost. Also, a high excitation voltage and a high excitation current enable generation of a large amount of plasma particles, but an excessively high electric energy input or an excessively low pressure makes it difficult for the

electromagnetic energy to smoothly transmit to the gas, and causes discharge between the electrodes, failing to generate a sufficient amount of plasma particles. On the other hand, when the gas pressure is low, a plasma is  
5 generated with a relatively low input of electromagnetic excitation power, but an excessively low pressure results in insufficient amount of plasma. In view of these factors, it is preferable that the gas pressure at the time of plasma generation is  $10^{-2}$  torr to atmospheric  
10 pressure.

The electromagnetic power may be of a direct current or an alternating current, and the material and shape of the electrodes can be selected according to the form of the electromagnetic power to be input. As the  
15 alternating current, a low frequency current of about 50 to 60 Hz or about 1 to 10 kHz, or a high frequency current of about 10 MHz to several GHz is usually employed. As an industrial high frequency current, a current of 13.56 MHz, 40 MHz, 915 MHz or 2.45 GHz is generally employed.  
20 Materials usually used for the electrodes include stainless steel, aluminum and its alloys, common steel and the like. The shape of the electrodes is selected from capacitively coupled type, parallel plate type, hollow cathode type, coil type, etc.

25 A desired plasma can be generated easily at low

cost, for example, by inputting a several hundred watts of electric power to coil type electrodes using a power source with a high frequency of 13.56 MHz, in an inert gas such as Ar, He, Kr or N<sub>2</sub>, a reducing gas such as hydrogen, or a mixture of these gases, each having been adjusted to a reduced pressure of  $1 \times 10^{-3}$  torr to several hundred torr.

When the starting material is subjected to electron beam irradiation, the irradiation is carried out under reduced pressure of usually about  $10^{-2}$  to  $10^{-7}$  torr (preferably about  $10^{-3}$  to  $10^{-5}$  torr) with an acceleration voltage of about 1 to 2000 kV (preferably about 50 to 1000 kV), while maintaining the starting material at a temperature of room temperature to 3000°C.

When the starting material is subjected to ion beam irradiation, the starting material is placed in a reduced pressure chamber (in which the pressure is reduced to usually about  $10^{-2}$  to  $10^{-7}$  torr, preferably about  $10^{-1}$  to  $10^{-5}$  torr), and irradiated with ionized He ions or Ar ions, with an acceleration voltage of about 100 V to 10 kV (preferably about 200 V to 1 kV) and an ion current of about 0.01 to 100 mA/cm<sup>2</sup> (preferably about 0.1 to 10 mA/cm<sup>2</sup>).

Amorphous nano-scale carbon tubes or a carbon material containing amorphous nano-scale carbon tubes can also be synthesized by heat-treating a carbon material

containing reactive  $-C\equiv C-$  and/or  $=C=$ . The heat treatment of the material is carried out in an inert atmosphere (in an inert gas such as Ar, He or  $N_2$ ; at a pressure which is lower than atmospheric pressure, preferably under reduced pressure of about 400 torr or lower: under reduced pressure in the presence of an inert gas, or the like), at a temperature of  $3000^\circ\text{C}$  or lower, preferably about 300 to  $2000^\circ\text{C}$ , more preferably 450 to  $1800^\circ\text{C}$ . The carbon material containing reactive  $-C\equiv C-$  and/or  $=C=$  is highly reactive owing to the triple bond in its molecule, and therefore can be easily made into amorphous nano-scale carbon tubes or a carbon material containing amorphous nano-scale carbon tubes.

The term "carbon material containing  $-C\equiv C-$  and/or  $=C=$ " as used herein encompasses a material comprising at least one of polyyne and cumulene, a material comprising at least one of  $-C\equiv C-$  and  $=C=$  bonds, a material partially comprising at least one of polyyne and cumulene, a material partially comprising at least one of  $-C\equiv C-$  and  $=C=$  bonds, and the like. The above term also encompasses a material comprising any of the above materials and a metal powder and/or a metal salt dispersed in the materials.

The "carbon material containing  $-C\equiv C-$  and/or  $=C=$ " for use in the present invention and the process for

its synthesis are both known. For example, polyyne having reactive triple bonds is disclosed in Japanese Unexamined Patent Publications No. 1991-44582 and No. 1988-199726; M. Kijima et al, Synthetic Metals, 86(1997), 2279; and so on.

5 Further, a carbon material containing polyyne is disclosed in J. Kansther et al, Macromolecules, 28(1975); L. Kavan et al, Carbon, 32 (1994), 1533; and so on.

The above publications also describe a carbon material containing  $-C\equiv C-$  and/or  $=C=$ .

10 The amorphous nano-scale carbon tubes according to the present invention are carbon nanotubes of nono-scale, having an amorphous structure, a hollow straight shape, and highly controlled pores. Each of the tubes usually has a shape of cylinder or rectangular prism, and  
15 most of the tubes have at least one uncapped (open) end. In the case where tubes with closed ends are present, most of these tubes have flat ends.

The amorphous nano-scale carbon tubes of the invention each has a diameter of usually about 0.1 to 1000  
20 nm, preferably about 1 to 200 nm, more preferably about 1 to 100 nm. Each tube has a length twice as long as, more preferably 5 times as long as, its diameter.

"Amorphous structure" means a carbonaceous structure consisting of disordered hexagonal carbon layers,  
25 which is different from a graphitic structure consisting

of continuous carbon layers of regularly disposed carbon atoms. In view of an image through a transmission electron microscope, which is typical analytical means, an amorphous nano-scale carbon tube according to the

invention can be defined as a carbon tube in which the dimension in the planar direction of the hexagonal carbon layers is smaller than the diameter of the carbon tube.

Generally, amorphous carbon causes no X-ray diffraction but shows a broad reflection.

In a graphitic structure, hexagonal carbon layers are regularly stacked on one another, so that spacing between the hexagonal carbon layers ( $d_{002}$ ) is narrow. Accordingly, the broad reflection shifts towards the high-angle side ( $2\theta$ ) and gradually narrows (has a smaller half-width of the  $2\theta$  band). As the result, the reflection can be observed as a  $d_{002}$  diffraction band ( $d_{002}=3.354 \text{ \AA}$  when the layers are regularly stacked on one another with a graphitic configuration).

In contrast, an amorphous structure generally does not cause X-ray diffraction as described above, but partially shows very weak coherent scattering. As determined by an X-ray diffraction method (incident X-ray:  $\text{CuK}\alpha$ ) with a diffractometer, the theoretical crystallographic characteristics of the amorphous nano-scale carbon tubes of the invention are defined as

follows: the spacing between hexagonal carbon layers ( $d_{002}$ ) is at least 3.54 Å, preferably at least 3.7 Å; the diffraction angle ( $2\theta$ ) is 25.1 degrees or less, preferably 24.1 degrees or less; and the  $2\theta$  band half-width is at least 3.2 degrees, preferably at least 7.0 degrees.

It is preferable that nano-scale carbon tubes having such amorphous structure (amorphous carbon) account for more than 95%, preferably at least 99%, of the amorphous nano-scale carbon tubes of the invention as a whole.

The term "straight" which is one of the terms describing shape of the amorphous nano-scale carbon tubes of the invention, is defined as having the following property: When the length of an amorphous nano-scale carbon tube as determined from a TEM image is taken as  $L$  and the length of the carbon tube as stretched is taken as  $L_0$ , the value  $L/L_0$  is at least 0.9.

It is preferable that such straight amorphous nano-scale carbon tubes account for at least 90%, preferably at least 95%, of the amorphous nano-scale carbon tubes of the invention as a whole.

It was initially reported that conventional CNTs were likely to store a gas such as hydrogen, but the gas-storing capacity has not been directly confirmed. Therefore, conventional CNTs are not applicable to

practical use as a gas-storing material.

In contrast, the amorphous nano-scale carbon tubes of the invention have a straight shape and a highly controlled pore size. Further, the carbon tubes of the invention can disperse a gas also within the spacing between the hexagonal carbon layers, and thereby absorb the expansion caused by physisorption of the gas into the hollow portion, thus having a unique feature of possessing high durability. Therefore, the amorphous nano-scale carbon tubes of the invention are highly useful as a gas-storing material. Moreover, the pore size of the material of the invention can be controlled at a molecular level, so that the material can be used as a material for selectively adsorbing/storing a specific compound, or as a molecular sieve.

Furthermore, many of the amorphous nano-scale carbon tubes of the invention have at least one open end, obviating the complicating process for opening the ends. Further, most of the carbon tubes of the invention which have closed ends have flat ends and have a relatively high structural strain at the ends. The ends of carbon tubes with flat ends are also easy to open.

Moreover, since the amorphous nano-scale carbon tubes of the invention have a straight shape, they can be densely packed for gas storing and are advantageous for

dispersion of a gas within the material.

Furthermore, the carbon tubes of the invention and a carbon material containing the carbon tubes have flexibility to absorb an external force, and thus are  
5 useful as a sliding material, a wear resistive material or the like.

The amorphous nano-scale carbon tubes of the invention or the carbon material containing the carbon tubes are expected to have a high capacitance owing to  
10 their properties, when used as an anode for lithium secondary batteries.

The amorphous nano-scale carbon tubes of the invention or the carbon material containing the carbon tubes are also useful as a semiconductor material,  
15 semiconductor fibrils, an electron emitting material or the like.

#### EFFECTS OF THE INVENTION

The present invention accomplishes the following remarkable effects:

20 (a) Nano-scale carbon tubes being straight, stable and nano-order and having an amorphous structure can be obtained, which are useful as a novel gas-storing material having excellent durability and a high capacity to stably store a variety of gases.

25 (b) Amorphous nano-scale carbon tubes which were

hitherto not known can be obtained through a synthesis mechanism different from known techniques by using, as a starting material, a heat decomposable resin or a carbon material containing reactive  $-C\equiv C-$  and/or  $=C=$ .

5 (c) Amorphous nano-scale carbon tubes with a reduced amount of impurity, or a carbon material containing the carbon tubes can be produced in a high yield. Therefore, desired nano-scale carbon tubes can be purified and obtained easily, realizing high mass-  
10 productivity on an industrial scale.

(d) The amorphous nano-scale carbon tubes have a hollow straight shape, and can be formed into a thin layer on a substrate. Accordingly, the carbon tubes are extremely useful as a material for electronic devices.

15 (e) The amorphous nano-scale carbon tubes or a carbon material containing the carbon tubes are useful as a gas-storing material, a high elasticity material, a high strength material, a wear resistive material, an electron beam emitter, a highly directional X-ray source,  
20 a soft X-ray source, a one-dimensional conductor, a high heat-conductive material, other materials for electronic devices, or the like.

#### PREFERRED MODE FOR CARRYING OUT THE INVENTION

The following Examples and Reference Examples  
25 are provided to illustrate the features of the present

invention in further detail. However, the present invention is not limited to these Examples.

#### Example 1

10 mg of an anhydrous iron chloride powder  
5 (having a particle size not greater than 500  $\mu\text{m}$ ) was  
dusted uniformly over a PTEE film (60  $\mu\text{m}$  x 10 mm x 10 mm),  
and the film was then excited by plasma. Fig. 1 shows the  
outline of a thin film forming apparatus 1 used. It is a  
matter of course that an apparatus having a structure  
10 other than that shown in Fig. 1 can be used in the present  
invention.

The thin film forming apparatus 1 shown in Fig.  
1 comprises a reactor 4 equipped with a material gas inlet  
2 and a gas discharge port 3, a first electrode 5 made of  
15 a helical wire and provided in the reactor 4 in an  
insulated manner, and further comprises a support member 8  
electrically contacted with an object 6 and supporting the  
object 6 within a plasma generation area 7 surrounded by  
the first electrode 5; the support member 8, in  
20 combination with an internal wall 4a of the reactor 4,  
being electrically grounded to serve as a second electrode  
2. Consequently, application of a high frequency current  
to the first electrode 5 induces a high frequency electric  
field in the plasma generation area 7, to thereby generate  
25 a so-called inductively coupled plasma.

The size of the helical first electrode 1 may be varied depending on the size of the object 6 held inside the helix. The helix has a diameter of about 1.5 to 10 times the overall dimension in the radial direction of the object 6, and has a height, in the axial direction perpendicular to the radial direction of the helix, of about 1.5 to 3 times the overall dimension in the axial direction of the object 6. Loops of the helical electrode 5, adjacent in the axial direction, are out of contact with one another and have intervals of preferably 1 to 10 mm.

In operation of the apparatus, a material gas supplied from the gas inlet 2 into the reactor 4 is introduced into the plasma generation area 7 through clearances between the loops of the first electrode 5. A predetermined high frequency current is passed through the first electrode 5 in the following manner: While one end of the first electrode 5 is open, a high frequency power source 11 with a maximum output of 10 kW is connected to the other end of the first electrode 5 via a matching circuit 10 provided outside the reactor 4, to apply a high frequency voltage of 13.56 MHz. A vacuum pump 12 is connected to the gas discharge port 3 to reduce the pressure in the reactor 4 to a predetermined reaction pressure.

Accordingly, when the high frequency voltage is applied to the first electrode 5 in the above manner, the first electrode 5 serves as a cathode electrode so as to generate another high frequency electric field (termed a capacitively coupled high frequency electric field) between the electrodes 5 and 9, in addition to the induction high frequency electric field. The inductively coupled plasma converts the material gas introduced into the plasma generation area 7, to high-energy active chemical species. The active chemical species, as charged particles, receive an electromagnetic force from the above two types of high frequency electric fields and from a high frequency magnetic field induced by the high frequency current, thereby exciting the object 6 with the resulting plasma.

The conditions for plasma excitation were as follows.

Atmosphere	: Ar
Internal Pressure	: 0.01 torr
Electric power input	: 300 W
RF frequency	: 13.56 MHz

After completion of the reaction, formation of amorphous nano-scale carbon tubes (diameter: 10 to 60 nm, length: 5 to 6  $\mu$ m) was confirmed by SEM and X-ray diffraction.

Fig. 2 is an X-ray diffraction chart of the amorphous nano-scale carbon tubes obtained. Fig. 2 shows that the diffraction angle ( $2\theta$ ) was 19.1 degrees; that the spacing between the hexagonal carbon layers ( $d_{002}$ ) calculated from the diffraction angle was 4.6 Å; and that the half-width of  $2\theta$  band was 8.1 degrees.

#### Example 2

10 mg of an anhydrous iron chloride powder (having a particle size not greater than 500  $\mu\text{m}$ ) was dusted uniformly over a PTFE film (60  $\mu\text{m}$  x 10 mm x 10 mm), and the film was placed in a vacuum furnace. The pressure in the furnace was reduced to 0.1 Pa, and then the film was irradiated with a laser beam.

The conditions for laser beam irradiation were as follows.

Atmosphere	: He
Internal pressure	: 500 torr
Temperature	: 800°C
Input laser beam wavelength	: 248 nm
Input laser beam power density	: 17 mJ/pulse/cm <sup>2</sup>
Number of cycles of input laser beam	: 1 Hz
Period of irradiation with the input laser beam	: 30 minutes

After completion of the reaction, formation of amorphous nano-scale carbon tubes was confirmed by SEM and X-ray diffraction. The product obtained in this Example had a diameter and length approximately equal to that of Example 2.

### Example 3

10 mg of an anhydrous iron chloride powder (having a particle size not greater than 500  $\mu\text{m}$ ) was dusted uniformly over a PTFE film (60  $\mu\text{m}$  x 10 mm x 10 mm). The film was placed in a vacuum furnace. The furnace was purged with nitrogen 3 times, and the pressure in the furnace was reduced to 3 Pa. Then, the film was vacuum baked at 900°C for 10 minutes.

Formation of amorphous nano-scale carbon tubes was observed by SEM and X-ray diffraction. The product obtained in this Example had a diameter and length approximately equal to that of Example 1.

Fig. 3-A and Fig. 3-B are TEM photographs of an amorphous nano-scale carbon tube obtained. Fig. 4 is an X-ray diffraction chart of the carbon tubes thus obtained.

TEM observation revealed that, in each of the obtained amorphous nano-scale carbon tubes, the dimension in the planar direction of the hexagonal carbon layers is smaller than the diameter of the carbon tube. The X-ray

diffraction angle ( $2\theta$ ) was 18.9 degrees; the spacing between the hexagonal carbon layers ( $d_{002}$ ) calculated from the diffraction angle was 4.7 Å; and the  $2\theta$  band half-width was 8.2 degrees.

5 Examples 4 to 10

Amorphous nano-scale carbon tubes were formed in the same manner as in Example 3 except that the vacuum conditions, temperature and type of catalyst (only in Example 6) were changed as shown in Table 1. The product  
10 obtained in each of these Examples was approximately equal in diameter and length to that of Example 1.

Table 1

Example	Catalyst		Atmosphere		
	Type	Amount (mg)	Type	Pressure (Pa)	Temperature (°C)
4	FeCl <sub>2</sub>	10	Vacuum	3	650
5	FeCl <sub>2</sub>	10	He	65800	800
6	MgCl <sub>2</sub>	10	Vacuum	3	800
7	FeCl <sub>2</sub>	10	Ar	750	700
8	FeCl <sub>2</sub>	10	Nitrogen	750	700
9	FeCl <sub>2</sub>	10	Vacuum	3	1500
10	FeCl <sub>2</sub>	10	Nitrogen	$2 \times 10^5$	900

Fig. 5 is an X-ray diffraction chart of the  
15 carbon tubes obtained in Example 9. In the product obtained by treatment at a relatively high temperature of 1500°C in Example 9, the X-ray diffraction angle ( $2\theta$ ) was 19.1 degrees, the spacing between the hexagonal carbon layers ( $d_{002}$ ) calculated from the X-ray diffraction angle

was 4.6 Å, and the 2θ band half-width was 8.1 degrees.

#### Example 11

0.6 g of an anhydrous magnesium chloride  
5 hexahydrate powder (having a particle size not greater  
than 500 μm) and 0.3 g of an anhydrous lithium chloride  
powder were dusted uniformly over 1 g of a PTFE film with  
a thickness of 60 μm. The film was placed in a vacuum  
furnace. The furnace was purged with nitrogen 3 times,  
10 and the pressure in the furnace was reduced to 0.1 Pa.  
Then, the film was vacuum heated at 900°C for 30 minutes.

SEM and X-ray diffraction confirmed formation of  
amorphous nano-scale carbon tubes having a diameter of 10  
to 60 nm and a length of 5 to 6 μm.

#### Example 12

10 g of a polyvinyl alcohol powder and 10 mg of  
FeCl<sub>2</sub> were mixed together and placed in a vacuum furnace.  
The furnace was purged with nitrogen 3 times. The  
20 pressure in the furnace was reduced to 0.1 Pa, and then  
the mixture was vacuum heated at 900°C for 30 minutes.

SEM and X-ray diffraction confirmed formation of  
amorphous nano-scale carbon tubes having a diameter of 10  
to 60 nm and a length of 5 to 10 μm.

Example 13

10 g of a polyethylene powder and 10 mg of  $\text{FeCl}_2$  were mixed together and placed in a vacuum furnace. The furnace was purged with nitrogen 3 times. The pressure in the furnace was reduced to 0.1 Pa, and then the mixture was vacuum heated at 900°C for 30 minutes.

SEM and X-ray diffraction confirmed formation of amorphous nano-scale carbon tubes having a diameter of 10 to 60 nm and a length of 5 to 10  $\mu\text{m}$ .

Example 14

10 g of a polyimide powder and 10 mg of  $\text{FeCl}_2$  were mixed together and placed in a vacuum furnace. The furnace was purged with nitrogen 3 times. The pressure in the furnace was reduced to 0.1 Pa, and then the mixture was vacuum heated at 900°C for 30 minutes.

SEM and X-ray diffraction confirmed formation of amorphous nano-scale carbon tubes having a diameter of 10 to 60 nm and a length of 5 to 10  $\mu\text{m}$ .

Example 15

10 g of a polyacrylonitrile powder and 10 mg of  $\text{FeCl}_2$  were mixed together and placed in a vacuum furnace. The furnace was purged with nitrogen 3 times. The pressure in the furnace was reduced to 0.1 Pa, and then

the mixture was vacuum heated at 900°C for 30 minutes.

SEM and X-ray diffraction confirmed formation of amorphous nano-scale carbon tubes having a diameter of 10 to 60 nm and a length of 5 to 10  $\mu\text{m}$ .

5

#### Reference Example 1

PTFE films were electrolytically or chemically reduced to synthesize carbon materials containing  $-\text{C}\equiv\text{C}-$  and/or  $=\text{C}=$  in their surface layers.

- 10 (1) Electrolytic reduction was performed by the two electrode method (anode: magnesium, cathode: stainless steel) using, as a solvent, a tetrahydrofuran solution of indicator salts ( $\text{LiCl}$ : 0.8 g,  $\text{FeCl}_2$ : 0.48 g, THF: 30 ml). 10 PTFE films (10 mm x 10 mm x 0.03 mm) and the solvent  
15 were placed in a flask equipped with an anode and a cathode, followed by electrolytic reduction with stirring in an argon atmosphere at 0°C for 15 hours. During the reaction, a potential of 25 V was applied between the anode and the cathode. After completion of the reaction,  
20 the PTFE films were washed with THF, vacuum dried and stored in an argon atmosphere.

TEM observation of the cross sections of the films revealed that the surface portion of each film had been reduced and converted into a 10  $\mu\text{m}$ -thick layer of a  
25 carbon material. Raman spectrum exhibited a band at 2100

cm<sup>-1</sup> attributable to C≡C, and a band at 1500 cm<sup>-1</sup> attributable to C=C. The above observation results demonstrate that, in the obtained material, the surface layers of the PTFE films had been converted into a carbon material containing -C≡C- and/or =C=.

(2) Chemical reduction was carried out as follows: 10.0 g of particulate Mg, 2.66 g of anhydrous lithium chloride (LiCl), 1.60 g of anhydrous ferrous chloride (FeCl<sub>2</sub>), 20 PTFE films (8 mm x 8 mm x 50 μm, total weight: about 0.2 g) and a stirring bar were placed in an egg plant type flask (hereinafter referred to as "the reactor") with an internal volume of 100 ml equipped with a three-way cock. These raw materials were dried at 50°C under a reduced pressure of 1 mmHg. Then, a dry argon gas was introduced into the reactor, and 44 ml of THF previously dried with sodium-benzophenone ketyne, followed by stirring at room temperature for about 3 hours using a magnetic stirrer.

After completion of stirring, the PTFE films, which had been converted to black-colored carbonaceous material, were recovered from the reaction mixture, washed twice with 20 ml of dry THF, and vacuum dried. TEM observation of the cross sections of the films revealed that a 10 μm-thick surface layer of each film had been reduced and converted into a carbon material. Analysis with a Raman spectrometer clearly showed a peak

attributable to C=C ( $1500\text{ cm}^{-1}$ ) and a peak attributable to C≡C ( $2100\text{ cm}^{-1}$ ), which had not been observed in the original PTFE films.

The following Examples were carried out using samples synthesized by the above process (1) and those synthesized by the process (2), unless otherwise specified.

#### Examples 16 to 20

The procedure of Reference Example 1 was followed to form a 10  $\mu\text{m}$ -thick carbon material having -C≡C- and/or =C= structure on PTFE films. The carbon material was heat-treated under the conditions shown in Table 2.

Table 2

Example	Atmosphere		
	Type	Pressure (Pa)	Temperature ( $^{\circ}\text{C}$ )
16	Vacuum	3.5	600
17	Vacuum	3.5	800
18	Vacuum	3.5	900
19	Vacuum	3.5	1100
20	Vacuum	3.5	1500

SEM and X-ray diffraction observation confirmed that a large amount of amorphous nano-scale carbon tubes (diameter: 10 to 60 nm, length: 5 to 6  $\mu\text{m}$ ) was formed at any of the above temperatures.

TEM photographs of the product obtained in

Example 18 are shown in Fig. 6-A and in Fig. 6-B (an enlarged fragmentary view of the product shown in Fig. 6-A).

As to the carbon nanotubes of the above Examples, it was confirmed by TEM observation that the dimension in the planar direction of the hexagonal carbon layers is smaller than the diameter of the carbon nanotubes.

Table 3 presents X-ray diffraction data of typical samples. The X-ray diffraction angle ( $2\theta$ ) was in the range of 18.9 to 22.6 degrees; the spacing between the hexagonal carbon layers ( $d_{002}$ ) was in the range of 3.9 to 4.7 Å; and the  $2\theta$  half-width of the was in the range of 7.6 to 8.2 degrees. Thus, the carbon material of the invention was confirmed to have an amorphous structure.

Table 3

Example	Diffraction angle ( $2\theta$ ;degree)	$d_{002}$ diffraction band (Å)	$2\theta$ band half-width (degree)
1	19.1	4.6	8.1
3	18.9	4.7	8.2
5	18.9	4.7	8.2
6	18.9	4.7	8.2
9	19.1	4.6	8.1
18	20.0	4.4	8.0
20	22.6	3.9	7.6

SEM and TEM observation revealed that the amorphous nano-scale carbon tubes obtained in the Examples

had a straight shape, and were not entangled with one another.

#### Comparative Example 1

5           Carbon nanotubes were synthesized by a conventional arc discharge method, purified, and observed with SEM, TEM and X-ray diffraction.

SEM and TEM observation showed that the carbon nanotubes obtained in Comparative Example 1 had a  
10   graphitic structure, had a curvilinear shape, and were entangled with one another. The X-ray diffraction angle ( $2\theta$ ) was 26.2 degrees, the spacing between the hexagonal carbon layers ( $d_{002}$ ) was 3.40 Å, and the  $2\theta$  band half-width was 0.9 degree. These results also confirmed that the  
15   carbon nanotubes had a graphitic structure.

The amorphous nano-scale carbon tubes obtained in the Examples and the carbon nanotubes obtained in Comparative Example 1 were tested for repetitive hydrogen-storing properties at 100 atms by a gravimetric method  
20   (with buoyancy correction) using a two-component adsorption measurement apparatus ("FMS-BI-H" manufactured by BEL JAPAN, INC.).

Table 4 shows the hydrogen-storing properties of typical samples. After a hydrogen-releasing step, the  
25   amount of adsorbed hydrogen in each material became

substantially zero. It was confirmed by the results shown in Table 4 that the amorphous nano-scale carbon tubes of the invention have a high capacity for storing hydrogen, and high durability.

5

Table 4

	Amount of hydrogen stored (wt.%)					
	1st trial	3rd trial	5th trial	10th trial	20th trial	30th trial
Example 3	1.6	1.6	1.6	1.6	1.6	1.6
Example 9	2.3	2.3	2.3	2.3	2.3	2.3
Comp.Ex.1	0.2	0.2	0.2	0.1	0.0	0.0

It was also confirmed that the carbon materials obtained in the Examples have a high capacity for storing other gases (such as methane, helium, neon, xenon, krypton and carbon dioxide), which is equivalent to their hydrogen-storing capacity.

10

# CLAIMS

1. Nano-scale carbon tubes each containing a main framework which comprises carbon, and each having a diameter of 0.1 to 1000 nm and an amorphous structure.

5           2. The nano-scale carbon tubes according to claim 1, each of which comprises hexagonal carbon layers each having a dimension in a planar direction that is smaller than the diameter of the carbon tube, as determined from a transmission electron microscope image.

10           3. The nano-scale carbon tubes according to claim 1 or 2, each of which has an interlayer spacing (002) between hexagonal carbon layers of at least 3.54 Å, a diffraction angle (2θ) of 25.1 degrees or less, and a 2θ band half-width of at least 3.2 degrees, as determined  
15 with a diffractometer by an X-ray diffraction method (incident X-ray: CuKα).

          4. The amorphous nano-scale carbon tubes according to any one of claims 1 to 3, each of which has a straight shape.

20           5. The amorphous nano-scale carbon tubes according to any one of claims 1 to 4, each of which has a hollow cylindrical shape or a hollow rectangular prism shape.

          6. The amorphous nano-scale carbon tubes  
25 according to any one of claims 1 to 5, each of which has

at least one open or flat end.

7. The amorphous nano-scale carbon tubes according to any one of claims 1 to 6, which are formed on a substrate, a particle or a porous material.

5           8. A gas-storing material comprising an amorphous carbonaceous material containing the amorphous nano-scale carbon tubes according to any one of claims 1 to 7.

9. The gas-storing material according to claim 8,  
10 which contains at least one of a metal salt and a metal.

10. The gas-storing material according to claim 9, wherein the metal salt and the metal are selected from the group consisting of iron, cobalt, nickel, copper, platinum, palladium, rubidium, strontium, cesium, vanadium,  
15 manganese, aluminum, silver, lithium, potassium, sodium, magnesium, hydrogen-occluding alloys and metal complexes.

11. A method for storing a gas, wherein a gas is stored using the gas-storing material according to any one of claims 8 to 10.

20           12. The method according to claim 11, wherein the gas to be stored is hydrogen, methane, helium, neon, xenon, krypton or carbon dioxide.

13. A method for producing a carbon material containing amorphous nano-scale carbon tubes according to  
25 any one of claims 1 to 7, the method comprising subjecting

a heat decomposable resin having a decomposition temperature of 200 to 900°C to excitation treatment in the presence of a catalyst comprising a metal powder and/or a metal salt.

5           14. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to claim 13, wherein the catalyst comprising a metal powder and/or a metal salt is at least one member selected from the group consisting of alkaline earth  
10 metals, iron, cobalt, nickel, chromium and their salts.

          15. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to claim 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by  
15 a heat treatment in an inert gas at a temperature of 300 to 3000°C.

          16. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to claim 13 or 14, wherein the excitation  
20 treatment of the heat decomposable resin is carried out by a light irradiation treatment in an inert gas at a temperature of room temperature to 3000°C.

          17. The method for producing said carbon material containing the amorphous nano-scale carbon tubes  
25 according to claim 13 or 14, wherein the excitation

treatment of the heat decomposable resin is carried out by plasma treatment in an inert gas at a temperature of room temperature to 3000°C.

18. The method for producing said carbon  
5 material containing the amorphous nano-scale carbon tubes according to claim 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by electron beam irradiation treatment in an inert gas at a temperature of room temperature to 3000°C.

10 19. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to claim 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by ion beam irradiation treatment in an inert gas at a  
15 temperature of room temperature to 3000°C.

Straight, nano-scale-order amorphous carbon tubes having a long-term stable ability for storing various kinds of gases and being stable in shape, and a novel process for producing said carbon tubes with high purity, high yield and high mass-productivity are provided.

15

FIG. 1

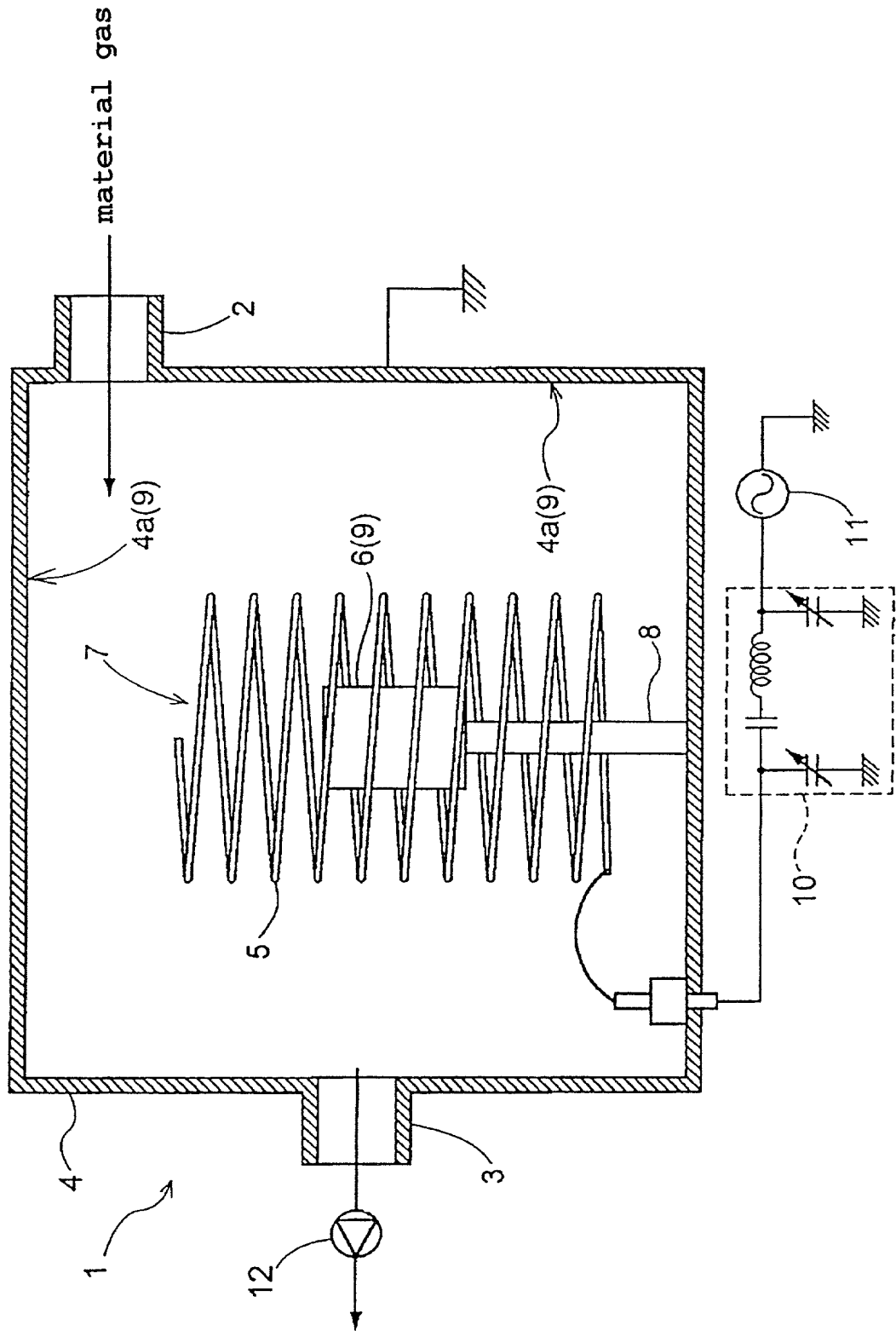
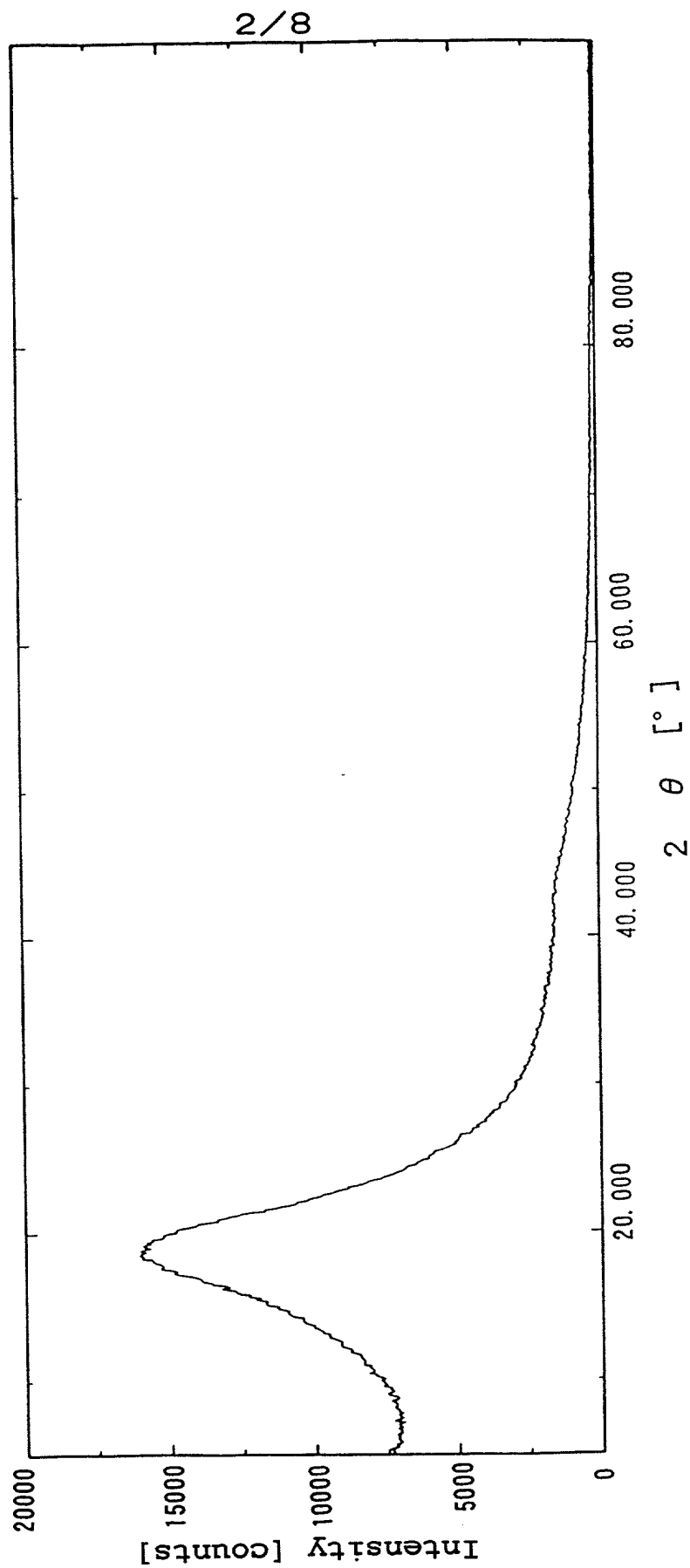


FIG. 2



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2 1

x300,000

FIG. 3-A

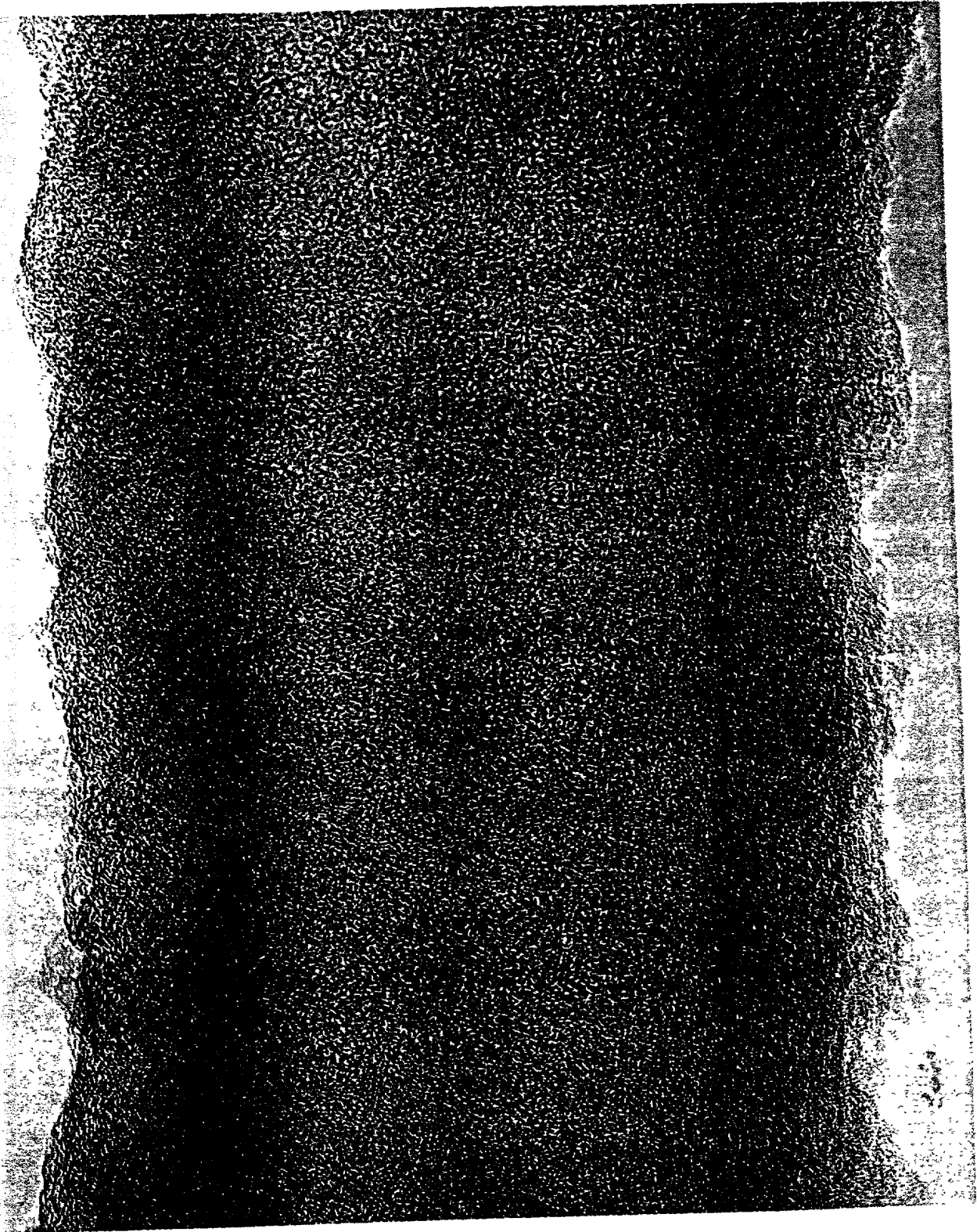
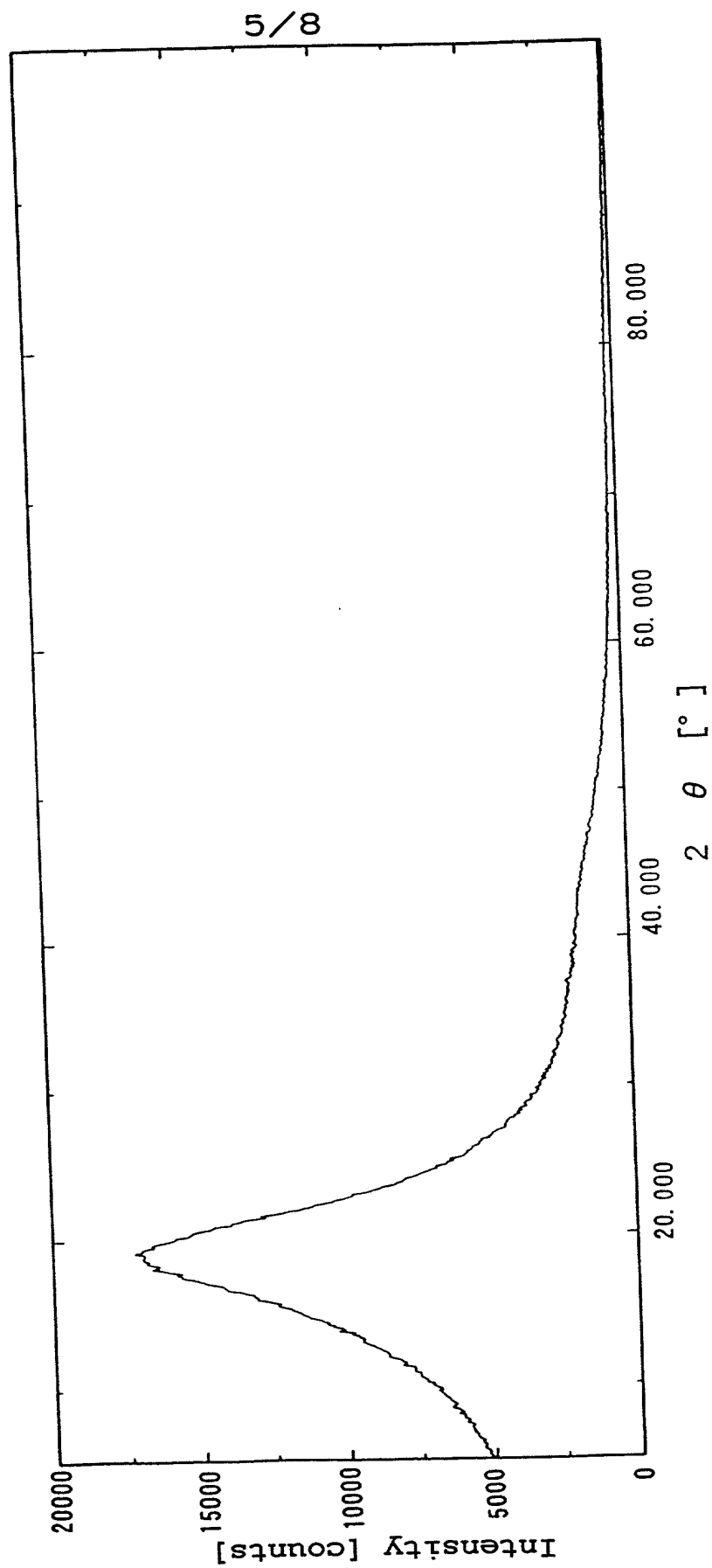


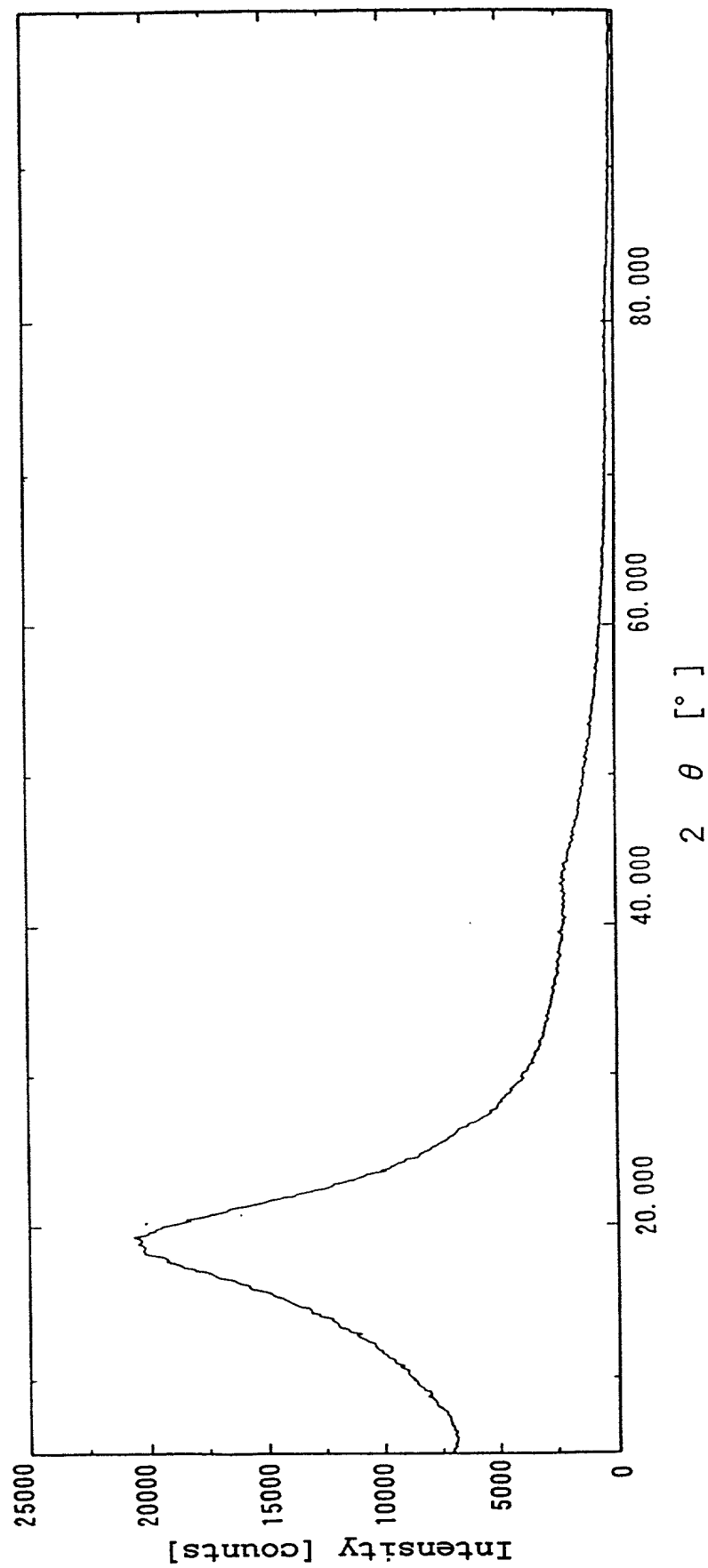
FIG. 3-B

FIG. 4

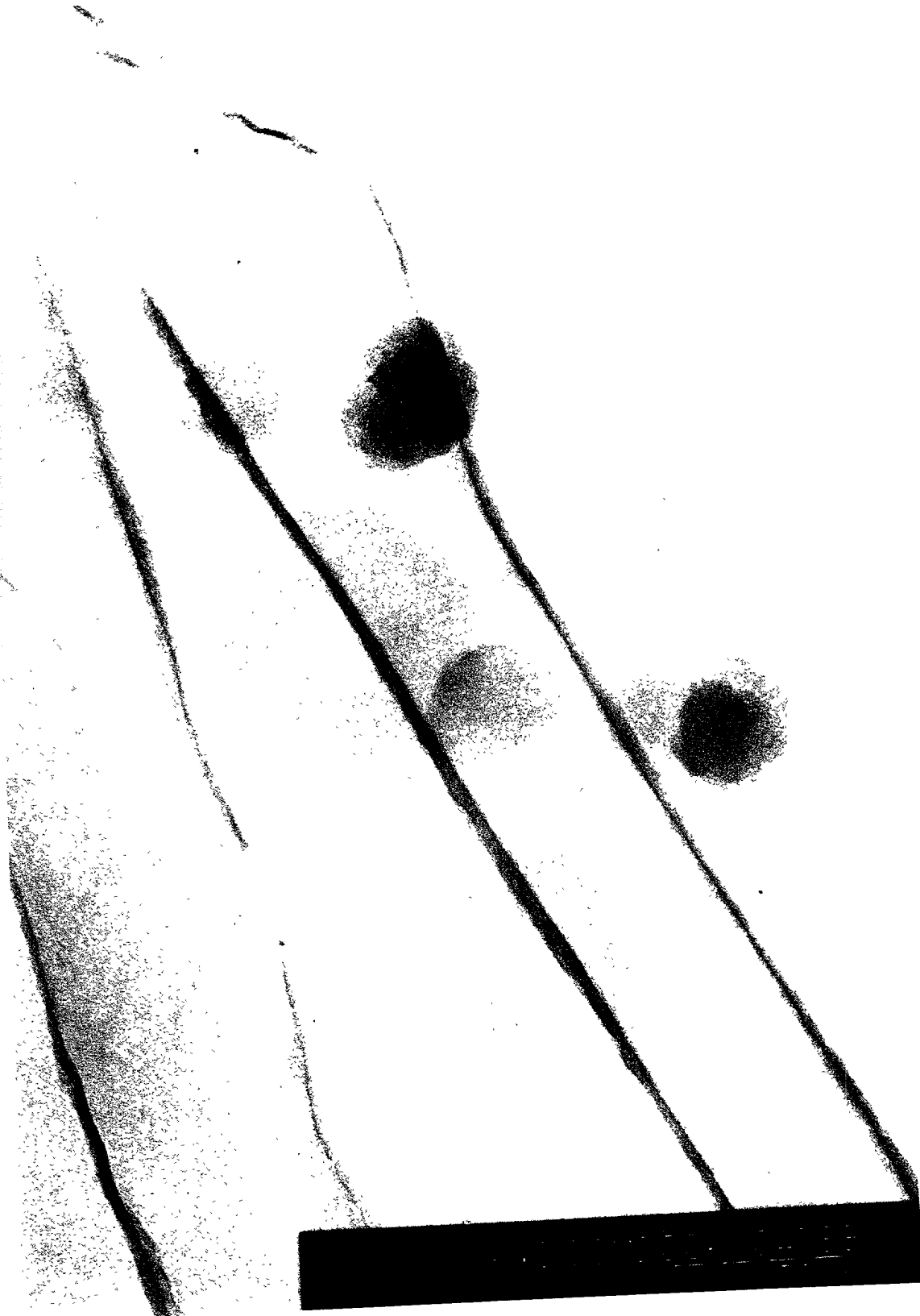


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FIG. 5



09/868620-00001



x150,000

FIG. 6-A



×1,500,000

FIG. 6-B

T05290"02929260

ARMSTRONG, WESTERMAN, HATTORI, MCLELAND & NAUGHTON

As a below named inventor, I hereby declare that:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
(Insert Title) **AMORPHOUS NANO-SCALE CARBON TUBE AND PRODUCTION METHOD THEREFOR**

the specification of which is attached hereto unless the following is checked:

was filed on October 29, 1999 as United States Application Number or PCT International Application Number PCT/JP99/06061 and was amended on July 4, 2000 and October 17, 2000 (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) - (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

1998-372258	Japan	28/12/1998	Priority Claimed <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	
1999-159178	Japan	07/06/1999	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	
			<input type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	
			<input type="checkbox"/> Yes <input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)	

(See note B on back of this page)

☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of the application:

(List Prior U.S.  
Applications)

(Application Serial Number)

(Filing Date)

(Status) (patented, pending, abandoned)

(Application Serial Number)

(Filing Date)

(Status) (patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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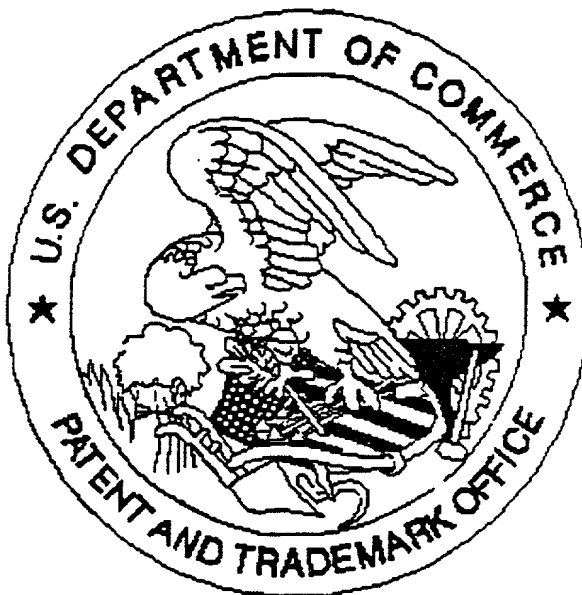
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